



Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				Complete if Known			
LIST OF INFORMATION CITED BY APPLICANT (Use as many sheets as necessary)				Application Number	10/693,030		
				Filing Date	10/24/03		
				First Named Inventor	Kraus		
				Group Art Unit	1652		
				Examiner Name	Unassigned		
U.S. PATENT DOCUMENTS							
Examiner's Initials	Cite No.	Document No.	Date	Name	Class	Subclass	Filing Date (if appropriate)
SM	A1	6,639,060	10/28/03	Kruas et al.	536	23.1	
	A2	5,820,859	10/13/98	Kraus et al.	424	143.1	
	*A3	5,480,968	01/1996	Kraus et al.	530	326	
	*A4	5,183,884	02/02/93	Kraus et al.	536	23.5	
	*A5	4,867,973	09/19/89	Goers et al.	424	85.91	
	A6	4,683,202	07/28/87	Mullis	435	91	
	A7	4,683,195	07/28/87	Mullis	435	6	
FOREIGN PATENT DOCUMENTS							
Examiner's Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Date	Name	Translation Yes/No		
SM	*A8	EP 0444961	04/09/91	Bristol-Myers Squibb Company			
	*A9	WO 89/10977	11/16/89	Isis Innovation Limited			
NON-PATENT DOCUMENTS							
Examiner's Initials	Cite No.	Non-Patent Citations (include Author, Title, Publisher, Relevant Pages, Date and Place of Publication)					
SM	A10	Bargmann et al., "Multiple Independent Activations of the <i>neu</i> Oncogene by a Point Mutation Altering the Transmembrane Domain of p185." <i>Cell</i> 45:649-657 (June 6, 1986)					
	A11	Cepko et al., "Construction and Applications of a Highly Transmissible Murine Retrovirus Shuttle Vector." <i>Cell</i> 37:1053-1062 (July 1984)					
	A12	Chou and Hayman, "Characterization of a member of the immunoglobulin gene superfamily that possibly represents an additional class of growth factor receptor." <i>Proc. Natl. Acad. Sci. USA</i> 88:4897-4901 (June 1991)					
	*A13	Coussens et al., "Tyrosine Kinase Receptor with Extensive Homology to EGF Receptor Shares Chromosomal Location with <i>neu</i> Oncogene." <i>Science</i> 230(4730):1132-1139 (December 6, 1985)					
	*A14	Di Fiore et al., "erbB-2 is a Potent Oncogene When Overexpressed in NIH/3T3 Cells." <i>Science</i> 237(4811):178-182 (July 10, 1987)					
J	A15	Di Fiore et al., "Overexpression of the Human EGF Receptor Confers an EGF-Dependent Transformed Phenotype to NIH 3T3 Cells." <i>Cell</i> 51:1063-1070 (December 24, 1987)					
	*A16	Drebin et al., "Inhibition of Tumor Growth by a Monoclonal Antibody Reactive with an Oncogene-Encoded Tumor Antigen." <i>Proc. Natl. Acad. Sci. USA</i> 83(23):9129-9133 (December 1, 1986)					

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	A18	Hanks et al., "The Protein Kinase Family: Conserved Features and Deduced Phylogeny of the Catalytic Domains." <i>Science</i> 241(4861):42-52 (July 1, 1988)
	A19	Holmes et al., "Identification of Heregulin, a Specific Activator of p185 ^{erbB2} ." <i>Science</i> 256(5060):1205-1210 (May 22, 1992)
	*A20	King et al., "Amplification of a Novel v-erbB-Related Gene in a Human Mammary Carcinoma." <i>Science</i> 229(4717):974-976 (September 6, 1985)
	*A21	Kraus et al., "Overexpression of the EGF receptor-related proto-oncogene <i>erbB-2</i> in human mammary tumor cell lines by different molecular mechanisms." <i>EMBO J.</i> 6(3):605-610 (March 1987)
	*A22	Kraus et al., "Isolation and Characterization of ERBB3, a Third Member of the ERBB/Epidermal Growth Factor Receptor Family: Evidence for Overexpression in a Subset of Human Mammary Tumors." <i>Proc. Natl. Acad. Sci. USA</i> 86(23):9193-9197 (December 1, 1989)
	A23	Kraus et al., "A Position 12-Activated H-ras Oncogene in all HS578T Mammary Carcinoma Cells but not Normal Mammary Cells of the Same Patient." <i>Proc. Natl. Acad. Sci. USA</i> 81(17):5384-5388 (September 1, 1984)
	*A24	Kraus et al., UCLA Symposia on Molecular & Cellular Biology, 19th Annual Meetings, Abstract F226 (1990).
	A25	Kyte and Doolittle, "A Simple Method for Displaying the Hydropathic Character of a Protein." <i>J. Mol. Biol.</i> 157:105-132 (May 1982)
	A26	Lerner et al., "Chemically Synthesized Peptides Predicted from the Nucleotide Sequence of the Hepatitis B Virus Genome Elicit Antibodies Reactive with the Native Envelope Protein of Dane Particles." <i>Proc. Natl. Acad. Sci. USA</i> 78(6):3403-3407 (June 1981)
	A27	Libermann et al., "Amplification, enhanced expression and possible rearrangement of EGF receptor gene in primary human brain tumours of glial origin." <i>Nature</i> 313:144-147 (January 1985)
	A28	Matsui et al., "Isolation of a Novel Receptor cDNA Establishes the Existence of Two PDGF Receptor Genes." <i>Science</i> 243(4892):800-804 (February 10, 1989)
	A29	Merrifield, "Solid Phase Peptide Synthesis. I. The Synthesis of a Tetrapeptide." <i>J. Am. Soc.</i> 85:2149-2154 (July 20, 1963)
	A30	Miki et al., "An efficient directional cloning system to construct cDNA libraries containing full-length inserts at high frequency." <i>Gene</i> 83:137-146 (November 1989)
	*A31	Pearson and Lipman, "Improved Tools for Biological Sequence Comparison." <i>Proc. Natl. Acad. Sci. USA</i> 85(8):2444-2448 (April 15, 1988)
	*A32	Pierce et al., "Signal Transduction through the EGF Receptor Transfected in IL-3-Dependent Hematopoietic Cells." <i>Science</i> 239(4840):628-631 (February 5, 1988)
	*A33	Plowman et al., "Molecular Cloning and Expression of an Additional Epidermal Growth Factor Receptor-Related Gene." <i>Proc. Natl. Acad. Sci. USA</i> 87(13):4905-4909 (July 1990)
✓	A34	Popescu et al., "Localization of the Human <i>erbB-2</i> Gene on Normal and Rearranged Chromosomes 17 to Bands q12-21.32." <i>Genomics</i> 4(3):362-366 (April 1989)
	A35	Rhim et al., "Neoplastic Transformation of Human Epidermal Keratinocytes by AD12-SV40 and Kirsten Sarcoma Viruses." <i>Science</i> 227(4691):1250-1252 (March 8, 1985)

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	A37	Saiki et al., "Enzymatic Amplification of β -Globin Genomic Sequences and Restriction Site Analysis for Diagnosis of Sickle Cell Anemia." <i>Science</i> 230(4732):1350-1354 (December 20, 1985)
	A38	Sanger et al., "DNA Sequencing with Chain-Terminating Inhibitors." <i>Proc. Natl. Acad. Sci. USA</i> 74(12):5463-5467 (December 1977)
	*A39	Semba et al., "A v-erbB-Related Protooncogene, c-erbB-2, is Distinct from the e-erbB-1/Epidermal Growth Factor-Receptor Gene and is Amplified in a Human Salivary Gland Adenocarcinoma." <i>Proc. Natl. Acad. Sci. USA</i> 82(19):6497-6501 (October 1, 1985)
	A40	Shoyab et al., "Structure and Function of Human Amphiregulin: A Member of the Epidermal Growth Factor Family." <i>Science</i> 243(4894):1074-1076 (February 24, 1989)
	A41	Slamon et al., "Studies of the HER-2/neu Proto-Oncogene in Human Breast and Ovarian Cancer." <i>Science</i> 244(4905):707-712 (May 12, 1989)
	A42	Velu et al., "Epidermal-Growth-Factor-Dependent Transformation by a Human EGF Receptor Proto-Oncogene." <i>Science</i> 238(4832):1408-1410 (December 4, 1987)
	A43	Vennstrom et al., "Molecular Cloning of the Avian Erythroblastosis Virus Genome and Recovery of Oncogenic Virus by Transfection of Chicken Cells." <i>J. Virol.</i> 36(2):575-585 (November 1980)
	A44	Walén and Stampfer, "Chromosome Analyses of Human Mammary Epithelial Cells at Stages of Chemical-Induced Transformation Progression to Immortality." <i>Cancer Genet. Cytogenet.</i> 37(2):249-261 (February 1989)
	*A45	Yamamoto et al., "Similarity of protein encoded by the human c-erbB-2 gene to epidermal growth factor receptor." <i>Nature</i> 319(6050):230-234 (January 16, 1986)
Examiner Signature: <i>[Signature]</i> Date Considered: <i>5/24/07</i>		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		